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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/905,654	07/11/2001	Gary B. Josephson	23-59123

FORMALITIES LETTER



OC000000006485811

KLARQUIST SPARKMAN CAMPBELL
LEIGH & WHINSTON, LLP
One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, OR 97204

Date Mailed: 08/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1051.
 - **\$531** for **59** total claims over 20.
 - **\$520** for **13** independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1471.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DATE	AMOUNT	BALANCE
12/31/2002	00.00	00.00
12/31/2003	00.00	00.00
12/31/2004	00.00	00.00
12/31/2005	00.00	00.00
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12/31/2090	00.00	00.00
12/31/2091	00.00	00.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Josephson et al. JAN 0 2 2002

Application No. 09/905,654

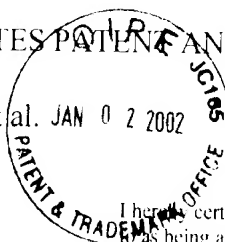
Filed: July 11, 2001

For: PROCESSES AND APPARATUSES
FOR TREATING HALOGEN-CONTAINING
GASES

Art Unit: 1725

Examiner: Not yet assigned

Date: October 26, 2001

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper or fee referred to as being attached or enclosed) is being deposited with the United States Postal Service on October 26, 2001 as First Class Mail in an envelope addressed to: Office of Initial Patent Examination Customer Service Center, COMMISSIONER FOR PATENTS, Washington, DC 20231.

Wayne W. Rupert
Wayne W. Rupert
Attorney for Applicant

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Office of Initial Patent Examination
Customer Service Center
COMMISSIONER FOR PATENTS
Washington, DC 20231

Sir:

Applicants have received the official Filing Receipt for the application referenced above, a copy of which is attached as Exhibit A, with the requested correction shown in red ink.

The following error(s) appears on the Filing Receipt:

ERROR**Filing Date: July 11, 2001****CORRECT INFORMATION****Filing Date: July 13, 2001**

Applicants' records indicate that the subject application was deposited with the United States Postal Service as Express Mail on July 13, 2001, as noted by the date typed by Applicants on the Express Mail label (Exhibit B), the deposit date typed on the return receipt postcard (Exhibit C), and the date appearing in the header of the patent application. It appears that the U.S. Postal Service incorrectly wrote "7/11/01" as the "Date In" on the Express Mail label, and Applicants did not discover the error until receipt of the Official Filing Receipt.

Applicant requests that the identified error in the filing date be corrected and that a new official Filing Receipt be issued showing that **July 13, 2001** is the actual filing date of the present application.

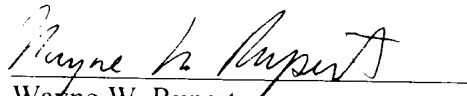
Please return the enclosed postcard to confirm that the items listed above have been received.

Please call the undersigned if any further information is required.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By


Wayne W. Rupert
Registration No. 34,420

One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 226-7391
Facsimile: (503) 228-9446

cc: Docketing



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/905,654	07/11/2001 13	1725	0.00	23-59123	7	79	16

CONFIRMATION NO. 2675

FILING RECEIPT



OC000000006485810

KLARQUIST SPARKMAN CAMPBELL
LEIGH & WHINSTON, LLP
One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, OR 97204

Date Mailed: 08/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Gary B. Josephson, Richland, WA;
Delbert L. Lessor, Richland, WA;
Amit K. Sharma, Plainsboro, NJ;
Christopher Lyle Aardahl, Richland, WA;
Kenneth G. Rappe, Richland, WA;

Assignment For Published Patent Application

Battelle Memorial Institute;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/27/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

EXHIBIT

A

Title

Processes and apparatuses for treating halogen-containing gases

Preliminary Class

219

Data entry by : ZEWDIE, BIZUAYEHU

Team : OIPE

Date: 08/28/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Josephson et al.

Art Unit: 1725

Application No. 09/905,654

Filed: July 11, 2001

For: PROCESSES AND APPARATUSES FOR
TREATING HALOGEN-CONTAINING
GASES

Examiner: Not yet assigned

Date: October 26, 2001

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on October 26, 2001, as First Class Mail in an envelope addressed to:
BOX MISSING PARTS, COMMISSIONER FOR PATENTS,
WASHINGTON D.C. 20231.

Wayne W. Rupert
Wayne W. Rupert
Attorney for Applicant

MISSING PARTS TRANSMITTAL LETTER

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, DC 20231

Enclosed for filing in the application referenced above are the following:

- ☒ Copy of Notice to File Missing Parts of Application--Filing Date Granted
- ☒ Basic Filing Fee of \$355.00
- ☒ Additional claim fee of \$1,051.00
- ☒ Combined Declaration and Power of Attorney
- ☒ Surcharge for Late Filing of Fee or Oath or Declaration
 - ☒ \$65.00 - Small Entity
 - ☐ \$130.00 - All Others
- ☒ Request for Corrected Official Filing Receipt with attached Exhibits A-C
- ☒ Information Disclosure Statement
 - ☒ Form 1449 and references cited thereon
 - ☐ IDS Fee of \$180.00
- ☒ A check in the amount of \$1,471.00 to cover the above-listed fees
- ☐ Small entity status is claimed for this application.
- ☐ The Director is hereby authorized to charge any additional fees which may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.

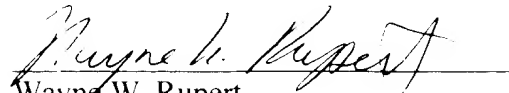


Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By



Wayne W. Rupert

Registration No. 34,420

One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 226-7391
Facsimile: (503) 228-9446

EXPRESS MAIL

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Label 11-F August 2000

